PATENT

(Practitioner's Docket No. IN-5531)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of	
M	axime Euring ALLARD et al.	
Phas	Il No.: This application is a National e of Patent Application EP00/03267 filed 12. April 2000.	Group Art Unit: Not Assigned
Filed:	: October 25, 2001	Examiner: Not Assigned
AND Comm		LTICOAT SYSTEM ITS PREPARATION
Dear S		LOSURE STATEMENT
aware there r	Applicant(s) submit(s) herewith patents, pub that they believe may be material to the exam may be a duty to disclose in accordance with 3	lications, or other information, of which they are ination of this application, and in respect of which, 7 C.F.R. §1.56.
to be, i	ch has been made (37 C.F.R. 1.97(g)), an adı	ement shall not be construed as a representation that mission that the information cited is, or is considered ial information exists. The filing of this Information dmission against interest in any manner.
X	Submitted herewith is Form PTO-1449 (Mo information for consideration by the Office.	dified) listing patents, publications, or other
COPIE	ES PROVIDED:	
X	Legible copies of all items listed on Form P except as indicated below:	TO-1449 accompany this information statement,
	No. [], filed on [].	s on the earlier filing date of prior application Serial The following references were submitted to and/or and are therefore not required to be provided in this
	In accordance with 37 C.F.R. §1.98(c), a co- Information Disclosure Statement, and is cur on Form PTO-1449:	opy of only [] is being submitted with this mulative of the following patents or publications listed
<u>FOREI</u>	GN LANGUAGE DOCUMENTS	
	There are no foreign language documents l	isted on Form PTO-1449.

Information Disclosure Statement

Page 2

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Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material: English Abstracts for: EP 0 604 992 A1; WO 94/22968; WO 91/13923; EP0436941; WO 99/50359; DE 43 03 570 A1; DE 40 11 045 A1; DE 38 28 098 A1; DE 40 20 316 A1; DE 41 22 743 C1; DE 43 28 092 A1; DE 39 03 804 A1; EP 0 708 788;

No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

5,368,944 English for EP 0 574 417 B1; 5,569,705 English for EP 0 669 356 A1: 5,425,970 English for EP 0 568 967 A2; 4,945,128 English for EP 0 234 361 A1; 5,965,213 English for DE 196 13 547; 5,075,372 and 4,945,128 English for EP 0 234 361 A1; 5,601,878 English for DE 42 22 194 A1; 4,489,135 English for DE 32 10 051 A1; 4,229,679 English for DE 28 18 100 A1; 4,851,460 English for DE 36 28 124 A1; CA 2,102,170 English for EP 0 596 461 A2: CA 2,073,115 English for EP 0 596 460 A2; 5,236,995 English for EP 531 510; 4,558,090 and 4,489,135 English for EP 0 089 497 A2; EP 0 523 610 A1; 5,418,264 English for 5,512,322 English for WO 90/01041: CA 2,102,169 English for EP 0 522 420 A2; 5,601,878 English for DE 42 22 194 A1: 6,001,424 English for WO 95/14721: 6,001,915 English for WO 96/12747; 5,658,617 English for EO 0 634 431 A1; 5,654,391 and 5,569,705 English for EP 0 678 536 A1; *5,486,384 English for EP 0 540 884 A1; 5,425,970 English for EP 0 568 967 A2; 5,075,372 and 4,945,128 English for EP 0 234 362 A1; 4,945,128 and 4,914,148 English for EP 0 228 003 A1: 4,880,867 English for EP 0 256 540 A2; EP 0 581 211 A1; 5,342,882 English for 5,601,880 English for EP 0 593 454 B1; 4,851,460 English for EP 0 260 447 A2; 5,552,496 English for EP 0 584 818 B1: 5,691,425 English for EP 0 522 419 A1; 5,623,016 and 5,571,861 English for EP 0 649 865 A1; 5,416,136 English for EP 0 536 712 A2; 5,334,420 English for EP 0 521 928 B1; CA 2102169 English for EP 0 522 420 A2; CA 596460 English for EP 0 596 460 A2; CA 2102170 English for EP 0 596 461 A2; 5,418,264 English for EP 0 523 610 A1: 5,569,705 English for EP 0669 356 4,558,090 and 4,489,135 English for EP 0 089 497 A2; 5, 512,322 and 5,370,910 English for WO90/01041 and EP 397 806; 5,691,419 and 5,686,531 English for WO 92/22615; 5,716,678 English for WO 94/22969:

Information Disclosure Statement Page 3

X	Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
	A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
organis by W. S cowork Coating page 5, LL. 17;	A concise explanation of the relevance of the following listed non-English language information is rth in the above-identified specification at the following pages and line numbers: "Methoden der schen chemie", Houben-Weyl, Volum 14/2, 4th Edition, Georg Tjieme Verlag, Stuttgart, 1963, pages 61 to 70, Siefken, Liebigs Annalen der Chemie, Volume 562, pages 75 to 136. @ pahe 42, LL.9-13; B. Singh and ters, "Carbamylmethylated Melamines, Novel Crosslinklers for the Coatings Industry" in Advanced Organic of Science and Technology Series, 1991, Vol. 13, pages 193 to 207 @ page 47, LL. 11-14; DE 38 28 098 @ , LL. 4; DE 40 11 045 A1 @ page 5, LL. 3; EP 0 574 417 B1 @ page 54, LL. 16; DE 43 28 092 A1 @ page 54, DE 43 03 570 A1 @ page 5, LL. 3; DE 41 22 743 C1 @ page 5, LL. 4; EP 0 297 576 A1 @ page 5, LL. 14; 07 087 A1 @ page 5, LL. 3, DE 195 18 392 @ page 3, LL. 3; DE 34 07 087 A1 @ page 5, LL. 3.
CERTI	IFICATION:
x	The Information Disclosure Statement transmitted herewith is being filed within three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
	The Information Disclosure Statement transmitted herewith is being filed after three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
	Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); OR
	That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); OR
	Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the \$230.00 IDS submission fee to BASF Corporation Deposit Account No. 23-3425. A duplicate of this paper is enclosed.
	The Information Disclosure Statement transmitted herewith is being filed After a Final Action under 37 C.F.R. §1.113, or After a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first, but Before Payment of the Issue Fee . Accordingly, I hereby certify that:
	Each statement of information contained in the Information Disclosure Statement was

	not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); OR
Information Dis	That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); AND closure Statement
	Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of \$130.00 to BASF Corporation Deposit Account No. 23-3425 . A duplicate of this paper is enclosed.
	mmissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for ees due hereunder.

Respectfully submitted,

Mary E. Golota, Reg No. 36,814 BASF Corporation 26701 Telegraph Road Southfield, Michigan 48034-2442 Tel. No.: (248) 948-2020

Customer No. 26922

IDS

REV - 11/11/97

10/009394 JC13 Rec'd PCT/PTO 25 OCT 2001

FORM PTO-1449 (MODIFIED)

US DEPARTMENT OF CO PATENT AND TRADEMAR FFICE Atty. docket No. IN-5531



LIST OF ART CITED BY APPLICANT

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In re Application of MAXIME et al.

Serial No.: Herewith

Examiner		DOCUMENT NUMBER	DATE	TENT DOCUMENTS NAME	CLASS	SUBCLASS	FILING	DATE
nitial						1		OPRIATE
	AA	5,474,811	12/12/95	Rehfuss et al.	427	407.1	5/11	/94
	AB	5,356,669	10/18/94	Rehfuss et al.	427	4307.1	10/2	3/92
	AC	5,605,965	2/25/97	Rehfuss et al.	525	100	11/3	/94
	AD	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25	/79
	AE	4,675,234	6/23/87	Sachs et al.	428	328	5/17	/85
	AF	5,425,970	6/20/95	Lahrmann et al.	427	493	5/6/9	93
	AG	5,691,419	11/25/97	Engelke et al.	525	208	5/19	/92
	AH	5,236,995	8/17/93	Salatin et al.	524	591	3/26	/91
	Al	5,686,531	11/11/97	Engelke et al.	525	111	5/24	/95
	AJ	6,001,915	12/14/99	Schwarte et al.	524	457	10/1	8/95
	AK	5,658,617	8/19/97	Göbel et al.	427	372.2	2/5/9	96
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	AL	0 594 071 A1	15.10.93	EP	C09D	201/02	X	
	AM	0 594 142 A1 \sim	20.10.93	EP	C08L	57/12	Х	
	AN	0 604 992 A1 /	23.12.93	EP	C08K	5/3492	Х	
	AO	WO 94/22969 V	13.10.94	EP	C09D	133/06		Х
	AP	WO99/50359 _v	07.10.99	EP	C09D	5/00		X
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Serial No.: Herewith

Filed: October 25, 2001

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	AA	4,558,090	12/10/85	Drexler et al.	524	591	10/2/84	
	AB	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83	
	AC	5,965,213	10/12/99	Sacharski et al.	427	475	4/4/96	
	AD	5,691,425	11/25/97	Klein et al.	525	455	7/2/92	
	AE	5,569,705	10/29/96	V0gt-Birnbrich et al.	524	591	2/27/95	
	AF	5,571,861	11/5/96	Klein et al.	524	591	10/21/94	
	AG	5,416,136	5/16/95	Konzmann et al.	523	414	4/29/94	
	AH	5,334,420	8/2/94	Hartung et al.	427	407.1	2/14/91	
	Al	5,075,372	12/24/91	Hille et al.	524	839	2/6/87	
	AJ	4,945,128	7/31/90	Hille et al.	524	591	2/6/87	
	AK	4,880,867	11/14/89	Gobel et al.	524	507	8/18/87	
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	AM	0 089 497 A2 🗸	21.02.83	EP	C09D	3/72	X	
	AN	0 844 286 A1	23.12.97	EP	C09D	5/03	X	
	AO	0 540 884 A1 🖊	03.10.92	EP	B05D	3/06	X	
	AP	196 13 547	07.11.96	DE	C09D	5/46	X	
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In re Application of MAXIME et al.

Serial No.: Herewith

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Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF
	AA	5,370,910	12/6/94	Hill et al.	427	407.1	7/24/89
	AB	6,001,424	12/14/99	Lettmann et al.	427	407.1	11/18/94
	AC	5,601,880	2/11/97	Schewarte et al.	427	407.1	8/5/94
	AD	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AE	4,939,213	7/3/90	Jacobs, III et al.	525	329.9	12/19/88
	AF	5,084,541	1/28/92	Jacobs, III et al.	528	45	5/14/90
··· .	AG	4,710,542	12/1/87	Forgione et al.	525	127	5/16/86
	АН	4,444,954	04/24/84	Mels et al.	525	124	9/30/82
	Al	5,342,882	8/30/94	Göbel et al	524	832	7/22/93
	AJ	5,512,322	4/30/96	Hille et al.	427	407.1	11/4/94
	AK	5,654,391	8/5/97	Göbel et al	525	123	4/20/95
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	AL	40 11 045 A1 /	10.10.91	DE	B05D	7/02	X
	AM	43 03 570 A1 🗸	11.08.94	DE	B05D	7/26	X
	AN	34 07 087 A1	05.09.85	DE	C09D	3/82	X
	AO	42 22 194	13.01.94	DE	C09D	5/46	X
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In re Application of MAXIME et al.

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	AA	5,601,878	2/11/97	Kranig et al.	427	386	6/23/	
	AB	5,623,016	4/22/97	Klein etal.	524	591	6/1/9	95
	AC	5,552,496	9/3/96	Vogt-Birnbrich et al.	525	440	2/27	/95
	AD	4,514,460	4/30/85	Johnson	428	245	7/11/	/83
	AE	5,716,678	2/10/98	Röckrath er al.	427	407.1	3/26	/94
	AF	5,418,264	5/23/95	Obloh et al.	523	414	3/3/9)4
	AG	4,229,679	10/21/80	Lode	315	111.7	6/5/7	' 4
	AH	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18	/87
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	AL	WO94/10211 /	11.05.94	EP	C08F	8/30	X	
	AM	WO94/10212 /	11.05.94	EP	C08F	8/30	Х	
	AN	WO94/10213 /	11.05.94	EP	C08F	8/30	Х	
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	AM	0 401 565 A1	16.05.90	EP	C09D	5/02	Х	
	AN	0 522 419 A1	30.06.92	EP	C08G	18/67		X
	AO	0 649 865 A1 /	15.10.94	EP	C08G	18/67		X
	AP	0 536 712 A2	07.10.92	EP	C09D	201/02		X
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	AL	0 521 928 B1 /	13.03.91	EP	C08F	299/06	X
	AM	0 522 420 A2	30.06.92	EP	C08J	3/03	X
	AN	2102170	94.05.07	CA	C09D	175/14	Х
	AO	0 234 362 A1	06.02.87	EP	C08G	18/08	X
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^{*}Applicant has modified this form by deleting the word "prior".

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LIST OF ART CITED BY APPLICANT

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Atty. docket No. IN-5531

In re Application of MAXIME et al.

Serial No.: Herewith

Filed: October 25, 2001

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and not considered. Include copy of this form with next communication to applicant.

^{*}Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF CO PATENT AND TRADEMA RCE FFICE Atty. docket No. IN-553

LIST OF ART CITED BY APPLICANT

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In re Application of MAXIME et al.

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^{*}Applicant has modified this form by deleting the word "prior".

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FORM PTO-1449 (MODIFIED)

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In re Application of MAXIME et al.

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		Advanced Organic Coating Science and Technology Series, 1991, Vol. 13, pages 193 to 207 @ page 47, LL. 11-14;								
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